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# Born expansions for Coulomb-type interactions

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**Abstract.** We prove lower bounds on the radius of convergence of various Born expansions associated with partial wave Schrödinger operators  $h_l$  involving Coulomb plus short-range potentials. Our estimates, clearly indicating the high-energy character of these expansions, also exhibit the connection between the increase of the radii of convergence with increasing energy and the behaviour of the short-range potential at the origin. In the case of a repulsive Coulomb potential, we prove bounds on the number of eigenvalues of  $h_l$  and discuss the relation between the absence of bound states of  $h_l$  and the convergence of Born expansions.

## 1. Introduction

In this paper we concentrate on the Born expansions associated with Hamiltonians including long-range potentials like the Coulomb potential. In particular, we consider Schrödinger operators  $h_l$  in  $L^2(0, \infty)$  which are distinguished self-adjoint realisations of differential expressions of the type

$$d_l = -\frac{d^2}{dr^2} + \frac{l(l+1) + \alpha^2 - \frac{1}{4}}{r^2} + \frac{\gamma}{r} + gV(r), \quad r > 0, l \in N_0, \alpha > 0, \gamma \in R, g \in C, \quad (1.1)$$

where the short-range potential  $V(r)$  is a real-valued locally integrable function on  $(0, \infty)$  satisfying appropriate integrability conditions (cf § 2). In the spherically symmetric case considered here, essentially the following two types of Born expansions (Taylor series in the coupling constant  $g$ ) exist:

(i) the Born expansions for  $\tilde{F}_l(k, g, r)$  and  $\tan \delta_l(k, g)$  which are obtained by iterating

$$\tilde{F}_l(k, g, r) = F_l^{(0)}(k, r) - g \int_0^\infty dr' \tilde{g}_l^{(0)}(k, r, r') V(r') \tilde{F}_l(k, g, r'), \quad k \geq 0, \quad (1.2)$$

and inserting it into

$$\tan \delta_l(k, g) = -\frac{\pi g k^{2l+1}}{2^{2l+2} \Gamma^2(l+3/2)} \int_0^\infty dr V(r) F_l^{(0)}(k, r) \tilde{F}_l(k, g, r), \quad k > 0; \quad (1.3)$$

(ii) the Born expansions for  $\tilde{\tilde{F}}_l(k, g, r)$  and  $\exp[2i\delta_l(k, g)]$ , using

$$\tilde{\tilde{F}}_l(k, g, r) = F_l^{(0)}(k, r) - g \int_0^\infty dr' \tilde{\tilde{g}}_l^{(0)}(k, r, r') V(r') \tilde{\tilde{F}}_l(k, g, r'), \quad k \geq 0, \quad (1.4)$$

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and

$$\exp[2i\delta_l(k, g)] = 1 - \frac{i\pi k^{2l+1}g}{2^{2l+1}\Gamma^2(l+3/2)} \int_0^\infty dr V(r) F_l^{(0)}(k, r) \tilde{F}_l(k, g, r), \quad k > 0. \quad (1.5)$$

Here

$$F_l^{(0)}(k, r) = \Gamma(l+3/2)(k/2)^{-l-1/2} r^{1/2} J_{l+1/2}(kr)$$

and the Green functions  $\tilde{g}_l^{(0)}(k, r, r')$  and  $\tilde{g}_l^{(0)}(k, r, r')$  follow from (3.16) and (3.41) in the limit  $\gamma \rightarrow 0$ .

Jost and Pais (1951), considering case (ii), proved a sufficient condition for convergence at all energies. Subsequently Kohn (1954) investigated cases (i) and (ii). He proved sufficient conditions for convergence of the Born expansions involved, and also derived lower and upper bounds on the radius of convergence for various energy regions. Later on, Zemach and Klein (1958) rigorously demonstrated (for a restricted class of potentials) the high-energy character of these expansions by proving convergence of the Born series for any fixed coupling constant  $g$  for sufficiently high energies. Their analysis has been generalised by Aaron and Klein (1960) to arbitrary space dimensions. The connection between the absence of bound states for the potential  $-|gV(|x|)|$  and the convergence of all Born expansions for all energies can be found in Davies (1959/60), Meetz (1962), Huby (1963) and Bushell (1972). A discussion of case (ii), including lower bounds on the radius of convergence, appeared in Scadron *et al* (1964). Finally, we mention a generalisation of the results of Zemach and Klein (1958), due to Faris (1971) who used time decay estimates in the framework of time-dependent scattering theory.

In (1.1) we introduced a terminology especially convenient to describe radial decompositions of Hamiltonians in  $L^2(\mathcal{R}^3)$ . Nevertheless, because of the arbitrariness of  $\alpha \in \mathcal{R}$ , Hamiltonians in  $L^2(\mathcal{R}^n)$  of the type

$$-\Delta + \frac{\beta - (n-2)^2}{4|x|^2} + \frac{\gamma}{|x|} + gV(|x|), \quad x \in \mathcal{R}^n - \{0\}, n \geq 2, \beta > 0, \gamma \in \mathcal{R}, g \in \mathcal{C},$$

are of course included in our discussion. In treating Schrödinger operators of the type (1.1) we split up  $h_l$  into two parts,  $h_l = h_l^{(0)} + gV$  (in the sense of quadratic forms), and interpret the exactly solvable operator  $h_l^{(0)} = -d^2/dr^2 + [l(l+1) + \alpha^2 - \frac{1}{4}]/r^2 + \gamma/r$  as the 'unperturbed' Hamiltonian. This enables one to generalise almost all classical results of the short-range case  $\gamma = 0$  by considering  $h_l^{(0)}$  instead of  $-d^2/dr^2 + l(l+1)/r^2$ .

In § 2 we describe the spectral properties of  $h_l$  for real  $g$ . Besides a discussion of the continuous spectrum of  $h_l$  (proposition 3), we also deal with its point spectrum and prove bounds on the number of bound states of  $h_l$  in the repulsive case  $\gamma \geq 0$  (propositions 1, 2). One of these bounds (proposition 2) is a straightforward generalisation of the corresponding short-range ( $\gamma = 0$ ) result due to Bargmann (1952). In the first part of § 3 we study case (i), the Born expansions for  $\tilde{F}_l(k, \gamma, g, r)$  and  $\tan[\delta_l(k, \gamma, g) - \delta_l^{(0)}(k, \gamma)]$  ( $\delta_l^{(0)}(k, \gamma)$  denote the phase shifts corresponding to  $g = 0$ ) associated with our Hamiltonian  $h_l$  (i.e. in the presence of an additional long-range potential of the type  $(\alpha^2 - \frac{1}{4})/r^2 + \gamma/2$ ). Following Kohn (1954), we derive lower bounds on the radius of convergence of the Born series in case (i) for several energy regions (propositions 4, 5). Proposition 6, which is new also in the short-range case  $\gamma = 0$ , connects the behaviour of  $V(r)$  in a neighbourhood of the origin  $r = 0$  with the increase of the radius of convergence in the high-energy limit. Incidentally, the estimate of proposition 6 proves, for sufficiently high energies, the convergence of the Born series

for any fixed coupling strength  $g$ . In the special case, where  $V(r)$  is integrable on  $(0, \infty)$ , it is also possible to prove in the high-energy limit an asymptotic expression for the radius of convergence identical to that obtained by Kohn (1954) for the short-range case  $\gamma = 0$ . The second part of § 3 deals with analogous results for the case (ii), i.e. for the Born expansions of  $F_l(k, \gamma, g, r)$  and  $\exp\{2i[\delta_l(k, \gamma, g) - \delta_l^{(0)}(k, \gamma)]\}$ .

## 2. Spectral properties of $h_l$

This section is dedicated to a detailed description of the spectra of the Hamiltonians  $h_l$  and of the regular and irregular solutions associated with them.

Let  $V(r)$  be real-valued,  $V(r) \in L^1_{loc}(0, \infty)$  and

$$\int_0^R dr r |V(r)| < \infty, \quad \int_R^\infty dr |V(r)| < \infty \quad \text{for some } R > 0. \quad (2.1)$$

In the Hilbert space  $L^2(0, \infty)$  we introduce the operator  $h_l$  by (cf (1.1))

$$\begin{aligned} (h_l f)(r) &= (d_l f)(r), \\ D(h_l) &= \{f|f' \in A_{loc}(0, \infty); f(0_+) = 0; f, f', d_l f \in L^2(0, \infty)\}. \end{aligned} \quad (2.2)$$

Here  $A_{loc}(0, \infty)$  denotes the set of locally absolutely continuous functions on  $(0, \infty)$ . If  $g \in \mathcal{R}$ , then  $h_l$  is self-adjoint (cf the discussion in Gesztesy *et al* 1980). In the special case  $g = 0$  we denote the resulting 'unperturbed' operator by  $h_l^{(0)}$ .

Next we introduce for  $E \leq 0, \gamma \geq 0$  and  $E \geq 0, \gamma \in \mathcal{R}$  regular and irregular solutions of the equation (cf (1.1))

$$(d_l - E)\psi(r) = 0, \quad r > 0, \quad l \in N_0. \quad (2.3)$$

*Regular solution* †

$$F_l(E, \gamma, g, r) = F_l^{(0)}(E, \gamma, r) - g \int_0^r dr' g_l^{(0)}(E, \gamma, r, r') V(r') F_l(E, \gamma, g, r'), \quad (2.4)$$

*Irregular solution*

$$G_l(E, \gamma, g, r) = G_l^{(0)}(E, \gamma, r) + g \int_r^\infty dr' g_l^{(0)}(E, \gamma, r, r') V(r') G_l(E, \gamma, g, r'). \quad (2.5)$$

Here  $F_l^{(0)}$  and  $G_l^{(0)}$  are regular and irregular solutions for the unperturbed Hamiltonian  $h_l^{(0)}$  in the case  $g = 0$  (cf the Appendix). They are given by the following expressions‡.

$E \leq 0, \gamma \geq 0$ :

$$\begin{aligned} F_l^{(0)}(E, \gamma, r) &= r^\lambda \exp(-\sqrt{-Er}) {}_1F_1(\lambda + \gamma/2\sqrt{-E}; 2\lambda; 2\sqrt{-Er}), \\ G_l^{(0)}(E, \gamma, r) &= \Gamma(2\lambda)^{-1} \Gamma(\lambda + \gamma/2\sqrt{-E}) \\ &\quad \times (-4E)^{\lambda-1/2} r^\lambda \exp(-\sqrt{-Er}) U(\lambda + \gamma/2\sqrt{-E}; 2\lambda; 2\sqrt{-Er}), \end{aligned} \quad (2.6)$$

† We always keep  $\alpha > 0$  and suppress the  $\alpha$  dependence of  $F_l, G_l, g_l^{(0)}$  etc.

‡ In equation (2.6)  ${}_1F_1(a; b; z)$  and  $U(a; b; z)$  denote the regular and irregular confluent hypergeometric functions respectively (Abramowitz and Stegun 1972). Note that, in contrast to Gesztesy *et al* (1980), we use different definitions for  $F_l^{(0)}$  and  $G_l^{(0)}$  so that the limits  $E \rightarrow 0$  and  $\gamma \rightarrow 0$  may be performed successively (cf the Appendix).

where

$$\lambda = \frac{1}{2} + (l^2 + l + \alpha^2)^{1/2}.$$

$E \geq 0, \gamma \in \mathbb{R}$ :

$$\begin{aligned} F_l^{(0)}(E, \gamma, r) &= r^\lambda \exp(-i\sqrt{E}r) {}_1F_1(\lambda - i\gamma/2\sqrt{E}; 2\lambda; 2i\sqrt{E}r), \\ G_l^{(0)}(E, \gamma, r) &= \Gamma(2\lambda)^{-1} \Gamma(\lambda - i\gamma/2\sqrt{E}) (4E)^{\lambda-1/2} \exp[i\pi(\lambda - 1/2)] r^\lambda \\ &\quad \times \exp(-i\sqrt{E}r) U(\lambda - i\gamma/2\sqrt{E}; 2\lambda; 2i\sqrt{E}r). \end{aligned} \tag{2.7}$$

The unperturbed Green function  $g_l^{(0)}(E, \gamma, r, r')$  is defined through

$$g_l^{(0)}(E, \gamma, r, r') = F_l^{(0)}(E, \gamma, r') G_l^{(0)}(E, \gamma, r) - F_l^{(0)}(E, \gamma, r) G_l^{(0)}(E, \gamma, r'). \tag{2.8}$$

It is simple to rewrite (2.4) and (2.5) to obtain

$$F_l(E, \gamma, g, r) = \tilde{\mathcal{F}}_l(E, \gamma, g) F_l^{(0)}(E, \gamma, r) - g \int_0^\infty dr' \hat{g}_l^{(0)}(E, \gamma, r, r') V(r') F_l(E, \gamma, g, r') \tag{2.9}$$

and

$$G_l(E, \gamma, g, r) = \tilde{\mathcal{G}}_l(E, \gamma, g) G_l^{(0)}(E, \gamma, r) - g \int_0^\infty dr' \hat{g}_l^{(0)}(E, \gamma, r, r') V(r') G_l(E, \gamma, g, r') \tag{2.10}$$

where we have abbreviated†

$$\tilde{\mathcal{F}}_l(E, \gamma, g) = W(G_l, F_l) = 1 + g \int_0^\infty dr V(r) G_l^{(0)}(E, \gamma, r) F_l(E, \gamma, g, r) \tag{2.11}$$

and‡

$$\hat{g}_l^{(0)}(E, \gamma, r, r') = \begin{cases} F_l^{(0)}(E, r') G_l^{(0)}(E, r), & r' \leq r, \\ F_l^{(0)}(E, r) G_l^{(0)}(E, r'), & r' \geq r. \end{cases} \tag{2.12}$$

Equations (2.4) and (2.5) for  $E \leq 0, \gamma \geq 0$  and  $E \geq 0, \gamma \in \mathbb{R}$  are uniquely solved by iteration provided  $V(r)$  fulfils the conditions

$$\int_0^\infty dr \frac{r}{1+r} |V(r)| < \infty \quad \text{if } E < 0, \gamma \geq 0 \text{ and } E > 0, \gamma \in \mathbb{R}, \tag{2.13}$$

$$\int_0^\infty dr \frac{r}{1+r^{1/2}} |V(r)| < \infty \quad \text{if } E = 0, \gamma \neq 0, \tag{2.14}$$

$$\int_0^\infty dr r |V(r)| < \infty \quad \text{if } E = \gamma = 0. \tag{2.15}$$

For a survey of estimates for  $F_l$  and  $G_l$  we refer to the Appendix.

Now we turn to the point spectrum of  $h_l$  for  $g$  real. For  $\gamma < 0$  there are obviously infinitely many bound states, hence we restrict our attention to the case  $\gamma \geq 0$ .

Let us denote by  $n_l(gV; \gamma; E \leq E_0), g \geq 0$ , the number of bound states of  $h_l$  with bound state energy less than or equal to  $E_0$ . We also introduce  $V_\pm(r) =$

†  $W(G, F) = G\partial F/\partial r - F\partial G/\partial r$  denotes the Wronskian of  $G$  and  $F$ .

‡ From now on we suppress the  $\gamma$  and  $g$  dependence whenever possible.

$[|V(r)| \pm V(r)]/2$  and exclude the trivial case where  $V_-(r) = 0$  a.e. on  $(0, \infty)$ . As a first result we state the following proposition.

*Proposition 1.* Let  $E_0 < 0$ ,  $\gamma \geq 0$ ,  $g > 0$  and suppose  $\int_0^\infty dr [r/(1+r)] |V(r)| < \infty$ . Then

$$n_i(gV; \gamma; E \leq E_0) < \Gamma(2\lambda)^{-1} \Gamma(\lambda + \gamma/2\sqrt{-E_0}) (-4E_0)^{\lambda-1/2} g \int_0^\infty dr r^{2\lambda} \exp(-2\sqrt{-E_0}r) \\ \times {}_1F_1(\lambda + \gamma/2\sqrt{-E_0}; 2\lambda; 2\sqrt{-E_0}r) U(\lambda + \gamma/2\sqrt{-E_0}; 2\lambda; 2\sqrt{-E_0}r) V_-(r). \tag{2.16}$$

*Proof.* Let  $V \leq 0$ . The infinitesimal form-boundedness of  $V$  relative to  $h_i^{(0)}$  implies continuity and monotonic decrease of the eigenvalues with respect to the coupling constant  $g$  (Simon 1971, Reed and Simon 1978). Thus (following Schwinger (1961) and Birman (1966))  $n_i(gV; \gamma; E \leq E_0)$  is the number of positive  $\kappa \leq 1$  for which

$$[(h_i^{(0)} + \kappa(gV))\psi](r) = E_0\psi(r) \tag{2.17}$$

has a solution  $\psi \in D(h_i^{(0)} + \kappa gV)$ . (Here  $h_i^{(0)} + \kappa gV$  denotes the form sum of  $h_i^{(0)}$  and  $\kappa gV$ .) This implies  $\hat{\mathcal{F}}_i(E_0, g) = 0$  and thus

$$\phi(r) = \kappa \int_0^\infty dr' g |V(r)|^{1/2} \hat{g}_i^{(0)}(E_0, r, r') |V(r')|^{1/2} \phi(r'), \\ \phi(r) = |V(r)|^{1/2} \psi(r), \tag{2.18}$$

which is equivalent to

$$\phi = \kappa g |V|^{1/2} (h_i^{(0)} - E_0)^{-1} |V|^{1/2} \phi. \tag{2.18'}$$

Under the hypothesis on  $V(r)$ ,  $|V|^{1/2} (h_i^{(0)} - E_0)^{-1} |V|^{1/2}$  is trace class (Reed and Simon 1979) and we finally obtain

$$\|g |V|^{1/2} (h_i^{(0)} - E_0)^{-1} |V|^{1/2}\|_1 = g \int_0^\infty dr \hat{g}_i^{(0)}(E_0, r, r) |V(r)| \\ = \sum_{n=1}^\infty \kappa_n^{-1} > \sum_{\{\kappa_n \leq 1\}} \kappa_n^{-1} \geq n(gV; \gamma; E \leq E_0), \tag{2.19}$$

where  $\kappa_n^{-1}$ ,  $n = 1, 2, 3, \dots$  are the eigenvalues of  $g |V|^{1/2} (h_i^{(0)} - E_0)^{-1} |V|^{1/2}$ . If  $V$  does not obey  $V \leq 0$ , we use the min-max principle (Reed and Simon 1978, Thirring 1979) to conclude that

$$n_i(gV; \gamma; E \leq E_0) \leq n_i(-gV_-; \gamma; E \leq E_0),$$

completing the proof.

In order to compare with the short-range case  $\gamma = 0$ , we present a corollary.

*Corollary 1.* Let  $E_0 < 0$ ,  $\gamma = 0$ ,  $g > 0$  and assume  $\int_0^\infty dr [r/(1+r)] |V(r)| < \infty$ . Then†

$$n_i(gV; 0; E \leq E_0) < g \int_0^\infty dr r I_{\lambda-1/2}(\sqrt{-E_0}r) K_{\lambda-1/2}(\sqrt{-E_0}r) V_-(r). \tag{2.20}$$

† Here  $I_\beta(z)$ ,  $K_\beta(z)$  denote the modified Bessel functions of order  $\beta$  (Abramowitz and Stegun 1972).

To estimate the total number of bound states (there are no positive-energy bound states by proposition 3) we give the following proposition.

*Proposition 2.* Let  $\gamma > 0$ ,  $g > 0$  and suppose  $\int_0^\infty dr [r/(1+r^{1/2})]|V(r)| < \infty$ . Then

$$n_l(gV; \gamma; E \leq 0) < 2g \int_0^\infty dr r I_{2\lambda-1}[(4\gamma r)^{1/2}] K_{2\lambda-1}[(4\gamma r)^{1/2}] V_-(r). \quad (2.21)$$

*Proof.* Let  $V \leq 0$ . To include zero-energy bound states we note that

$$(h_l \psi)(r) = 0, \quad \psi \in D(h_l) \quad (2.22)$$

implies

$$\begin{aligned} \phi(r) &= g \int_0^\infty dr' |V(r)|^{1/2} \hat{g}_l^{(0)}(0, r, r') |V(r')|^{1/2} \phi(r'), \\ \phi(r) &= |V(r)|^{1/2} \psi(r), \end{aligned} \quad (2.23)$$

since  $\hat{\mathcal{F}}_l(E=0, g) = 0$  in this case. So, following the proof of proposition 1, we obtain (2.21). For general  $V$  we note that the infinitesimal form-boundedness of  $V$  with respect to  $h_l^{(0)}$  implies

$$\dim \mathcal{R}(E_{(-\infty, 0]}(h_l)) \leq \dim \mathcal{R}(E_{(-\infty, 0]}(h_l^-)),$$

where  $h_l^-$  denotes the form sum of  $h_l^{(0)}$  and  $-gV_-$ , and  $E_{(-\infty, 0]}(A)$  represents the non-positive spectral projection of a self-adjoint operator  $A$ . Thus

$$n_l(gV; \gamma; E \leq 0) \leq n_l(-gV_-; \gamma; E \leq 0),$$

finishing the proof.

The corresponding short-range result ( $\gamma = 0$ ) reads as follows (Bargmann 1952, Newton 1962).

*Corollary 2.* Let  $\gamma = 0$ ,  $g > 0$  and assume  $\int_0^\infty dr r |V(r)| < \infty$ . Then

$$n_l(gV; 0; E \leq 0) < \frac{g}{2\lambda - 1} \int_0^\infty dr r V_-(r). \quad (2.24)$$

*Remark 1.* (a) For a family of optimal bounds for  $n_l(gV; 0; E \leq 0)$ , including (2.24) as a special case, see Glaser *et al* (1976, 1978); for a review of other methods compare Simon (1976) and Reed and Simon (1978). A discussion where  $V(r)$  is replaced by a nonlocal separable rank-one (Yamaguchi) potential can be found in Van Haeringen *et al* (1977). (b) In the short-range case ( $\gamma = 0$ ) it is well known that  $n_l(gV; 0; E \leq 0)$  increases like  $g^{1/2}$  if  $g$  tends to infinity (Chadan 1968, Chadan and Mourre 1969, Martin 1977, Grosse 1980). The presence of an additional repulsive Coulomb-type potential  $(\alpha^2 - \frac{1}{4})/r^2 + \gamma/r$ ,  $\gamma > 0$  decreases the number of bound states, but in the strong coupling limit  $g \rightarrow \infty$  this effect should become more and more negligible. In fact, using methods

employed in Chadan and Mourre (1969), it is simple to prove

$$\lim_{g \rightarrow \infty} g^{-1/2} n_l(gV; \gamma; E \leq 0) = \frac{1}{\pi} \int_0^\infty dr |V(r)|^{1/2}, \quad \gamma \geq 0, \quad (2.25)$$

if  $V(r) \leq 0$  and  $V(r) \in L^{1/2}(0, \infty)$ .

Having discussed the point spectrum  $\sigma_p(h_l)$  to some extent, we finally concentrate on the remaining parts of  $\sigma(h_l)$ .

*Proposition 3.* For all  $l \in N_0, \alpha > 0, \gamma \in R, g \in R$ , the spectrum of  $h_l$  is simple and bounded from below. Its singular continuous part is empty, no positive eigenvalues occur, and the essential spectrum is purely absolutely continuous:

$$\sigma_{\text{ess}}(h_l) = \sigma_{\text{ac}}(h_l) = [0, \infty).$$

For a proof of proposition 3 compare Weidmann (1967) (cf also Gesztesy *et al* (1980) where a more general result including existence and completeness of various Møller operators is discussed).

*Remark 2.* (a) For  $\gamma > 0$ , proposition 2 proves the finiteness of  $\sigma_p(h_l)$  for potentials  $V(r)$  which are, roughly speaking, of order  $O(r^{-3/2-\epsilon})$ ,  $\epsilon > 0$  as  $r \rightarrow \infty$ . But the explicit structure of  $h_l$  shows that there are actually finitely many eigenvalues if  $gV(r) \geq cr^{-1-\epsilon}$ ,  $\epsilon > 0, r \geq R$  for some  $R > 0$ . The apparent border line  $V(r) = O(r^{-3/2-\epsilon})$  as  $r \rightarrow \infty$  (instead of  $V(r) = O(r^{-1-\epsilon})$  as  $r \rightarrow \infty$ ) comes from the fact that we used the trace norm  $\| \cdot \|_1$  of the integral operator with kernel  $g|V(r)|^{1/2} \hat{g}_l^{(0)}(0, r, r') |V(r')|^{-1/2} V(r')$  in the proof of proposition 2. The class of potentials yielding finitely many eigenvalues is enlarged successively if further norms  $\| \cdot \|_p, p = 2, 3, \dots$  are taken into account. (b) Most of the results in this section (e.g. (2.16), (2.20), (2.21) if  $\gamma > 0$ , proposition 3) and in the following are also valid for  $\alpha = 0$  if  $D(h_l)$  and the assumptions on  $V(r)$  in (2.13)–(2.15) are modified appropriately.

### 3. Convergence of Born expansions

After introducing the concept of phase shifts  $\delta_l(k)$ , we derive various lower bounds on the radius of convergence of the Born series for  $\tan(\delta_l - \delta_l^{(0)}), \exp[2i(\delta_l - \delta_l^{(0)})]$  and related quantities.

Since  $E \geq 0$  throughout this section we introduce the variable  $k = \sqrt{E}$  and redefine  $F_l$  and  $G_l$  as follows†:

$$F_l^{(0)}(k, \gamma, r) = r^\lambda e^{-ikr} {}_1F_1(\lambda - i\gamma/2k; 2\lambda; 2ikr) = F_l^{(0)}(-k, \gamma, r), \quad k \geq 0, \quad (3.1)$$

$$G_l^{(0)}(k, \gamma, r) = \Gamma(2\lambda)^{-1} \Gamma(\lambda - i\gamma/2k) (2ik)^{2\lambda-1} r^\lambda e^{-ikr} \\ \times U(\lambda - i\gamma/2k; 2\lambda; 2ikr), \quad k \geq 0, \quad (3.2)$$

$$G_l^{(0)}(-k, \gamma, r) = G_l^{(0)}(k, \gamma, r) + 2i \frac{B_l(k)}{A_l(k)} F_l^{(0)}(k, \gamma, r) = \overline{G_l^{(0)}(k, \gamma, r)}, \quad k \geq 0, \quad (3.3)$$

† In order to simplify the notation we use the same symbols  $F_l, G_l, g_l^{(0)}$  etc after  $E$  has been replaced by  $k$ .



where  $A_i(k)$  and  $B_i(k)$  are defined by

$$\begin{aligned} A_i(k) &= 2^{1-\lambda} k^{-\lambda} \Gamma(2\lambda) |\Gamma(\lambda + i\gamma/2k)|^{-1} e^{\pi\gamma/4k}, \\ B_i(k) &= 1/kA_i(k) = (2k)^{\lambda-1} \Gamma(2\lambda)^{-1} |\Gamma(\lambda + i\gamma/2k)| e^{-\pi\gamma/4k}, \quad \gamma \in \mathbf{R}. \end{aligned} \quad (3.4)$$

Then we have

$$F_i(k, \gamma, g, r) = F_i^{(0)}(k, \gamma, r) - g \int_0^r dr' g_i^{(0)}(k, \gamma, r, r') V(r') F_i(k, \gamma, g, r'), \quad (3.5)$$

$$F_i(k, \gamma, g, r) = \mathcal{F}_i(-k, \gamma, g) F_i^{(0)}(k, \gamma, r) - g \int_0^\infty dr' \hat{g}_i^{(0)}(k, \gamma, r, r') V(r') F_i(k, \gamma, g, r') \quad (3.6)$$

and

$$G_i(k, \gamma, g, r) = G_i^{(0)}(k, \gamma, r) + g \int_r^\infty dr' g_i^{(0)}(k, \gamma, r, r') V(r') G_i(k, \gamma, g, r'), \quad (3.7)$$

$$G_i(k, \gamma, g, r) = \mathcal{F}_i(-k, \gamma, g) G_i^{(0)}(k, \gamma, r) - g \int_0^\infty dr' \hat{g}_i^{(0)}(k, \gamma, r, r') V(r') G_i(k, \gamma, g, r'), \quad (3.8)$$

where  $\mathcal{F}_i(-k, \gamma, g)$  denotes the Wronskian of  $G_i(k, \gamma, g, r)$  and  $F_i(k, \gamma, g, r)$ :

$$\mathcal{F}_i(-k, \gamma, g) = 1 + g \int_0^\infty dr V(r) G_i^{(0)}(k, \gamma, r) F_i(k, \gamma, g, r). \quad (3.9)$$

Insertion of (3.1)–(3.3) into (3.5) then shows

$$\begin{aligned} F_i(k, \gamma, g, r) &= F_i(-k, \gamma, g, r), \\ g_i^{(0)}(k, \gamma, r, r') &= g_i^{(0)}(-k, \gamma, r, r'), \quad k \geq 0. \end{aligned} \quad (3.10)$$

Next we introduce†

$$\exp\{2i[\delta_i(k, \gamma, g) - \delta_i^{(0)}(k, \gamma)]\} = \mathcal{F}_i(-k, \gamma, g) / \mathcal{F}_i(k, \gamma, g), \quad k > 0, \quad (3.11)$$

where

$$\begin{aligned} \delta_i^{(0)}(k, \gamma) &= \arg \Gamma[\frac{1}{2} + (l^2 + l + \alpha^2)^{1/2} + i\gamma/2k] + \frac{1}{2}\pi[l + 1/2 - (l^2 + l + \alpha^2)^{1/2}] \\ &= \arg \Gamma(\lambda + i\gamma/2k) + \frac{1}{2}\pi(l + 1 - \lambda) \end{aligned} \quad (3.12)$$

is the phase shift associated with  $h_i^{(0)}$  (cf the Appendix). Since

$$|\mathcal{F}_i(k) - 1| = o(1) \quad \text{as } k \rightarrow \infty, \quad (3.13)$$

we choose

$$\delta_i(\infty) = \frac{1}{2}\pi(l + 1 - \lambda) = \frac{1}{2}\pi[l + \frac{1}{2} - (l^2 + l + \alpha^2)^{1/2}] \quad (3.14)$$

in order to guarantee uniqueness of  $\delta_i(k)$ . (For a detailed discussion of the high-energy behaviour of  $\delta_i(k)$  compare Gesztesy *et al* 1980.) With these definitions, the asymptotic

† Note that in general  $\mathcal{F}_i(-k, \gamma, g) \neq \overline{\mathcal{F}_i(k, \gamma, g)}$  since  $g \in \mathbf{C}$ .

behaviour of  $F_l(k, r)$  and  $G_l(k, r)$  reads

$$\left| F_l(k, r) - \mathcal{F}_l(k) \exp\{i[\delta_l(k) - \delta_l^{(0)}(k)]\} A_l(k) \sin\left(kr - \frac{\gamma}{2k} \ln(2kr) - \frac{l\pi}{2} + \delta_l(k)\right) \right| = o(1),$$

for  $k > 0, r \rightarrow \infty,$

$$\left| G_l(\pm k, r) - B_l(k) \exp\left[\mp i\left(kr - \frac{\gamma}{2k} \ln(2kr) - \frac{l\pi}{2} + \delta_l^{(0)}(k)\right)\right] \right| = o(1)$$

for  $k > 0, r \rightarrow \infty.$

We further introduce

$$\tilde{g}_l^{(0)}(k, r, r') = \tilde{g}_l^{(0)}(k, r, r') + i \frac{B_l(k)}{A_l(k)} F_l^{(0)}(k, r) F_l^{(0)}(k, r') = \text{Re } \hat{g}_l^{(0)}(k, r, r'), \quad k \geq 0, \quad (3.16)$$

and note that  $\tilde{g}_l^{(0)}(k, r, r')$  is real. Then

$$\tilde{F}_l(k, g, r) = \frac{2}{\mathcal{F}_l(k, g) + \mathcal{F}_l(-k, g)} F_l(k, g, r), \quad k \geq 0, \quad (3.17)$$

satisfies

$$\tilde{F}_l(k, g, r) = F_l^{(0)}(k, r) - g \int_0^\infty dr' \tilde{g}_l^{(0)}(k, r, r') V(r') \tilde{F}_l(k, g, r'), \quad k \geq 0, \quad (3.18)$$

and from

$$\mathcal{F}_l(k, g) - \mathcal{F}_l(-k, g) = \frac{2ig}{kA_l^2(k)} \int_0^\infty dr V(r) F_l^{(0)}(k, r) F_l(k, r), \quad (3.19)$$

one obtains

$$\tan[\delta_l(k, g) - \delta_l^{(0)}(k)] = \frac{-g}{kA_l^2(k)} \int_0^\infty dr V(r) F_l^{(0)}(k, r) \tilde{F}_l(k, g, r), \quad k > 0. \quad (3.20)$$

Iterating (3.18) and inserting it into (3.20) then yields the Born expansions (Taylor series in  $g$ ) for  $\tilde{F}_l(k, g, r)$  and  $\tan[\delta_l(k, g) - \delta_l^{(0)}(k)]$ :

$$\tilde{F}_l(k, g, r) = \sum_{n=0}^{\infty} g^n A_{n,l}(k, r), \quad (3.21)$$

$$A_{0,l}(k, r) = F_l^{(0)}(k, r),$$

$$A_{n,l}(k, r) = (-1)^n \int_0^\infty dr_1 \tilde{g}_l^{(0)}(k, r, r_1) V(r_1) \int_0^\infty dr_2 \tilde{g}_l^{(0)}(k, r_1, r_2) V(r_2) \dots$$

$$\times \int_0^\infty dr_n \tilde{g}_l^{(0)}(k, r_{n-1}, r_n) V(r_n) F_l^{(0)}(k, r_n), \quad n = 1, 2, \dots, \quad (3.22)$$

$$\tan[\delta_l(k, g) - \delta_l^{(0)}(k)] = \sum_{n=1}^{\infty} g^n B_{n,l}(k), \quad (3.23)$$

$$B_{n,l}(k) = \frac{-1}{kA_l^2(k)} \int_0^\infty dr V(r) F_l^{(0)}(k, r) A_{n-1,l}(k, r), \quad n = 1, 2, \dots \quad (3.24)$$

From (3.17) and the fact that  $\mathcal{F}_l(\pm k, \gamma, g)$  and  $F_l(k, \gamma, g, r)$  are entire functions of  $g$ , we infer that for fixed  $k, l, \alpha, \gamma$  the radius of convergence for both Born expansions (3.21) and (3.23) is given by the absolute value of that zero of

$$\mathcal{F}_l(k, \gamma, g) + \mathcal{F}_l(-k, \gamma, g) = 0 \tag{3.25}$$

which is closest to the origin  $g = 0$ . We denote this zero simply by  $\tilde{g}_l(k, \gamma)$  (of course it depends on  $\alpha$  as well).

In the following we discuss several methods of obtaining a lower bound on the radius of convergence  $|\tilde{g}_l(k, \gamma)|$ . We first investigate the case  $k = 0$  and  $\gamma \geq 0$ .

*Proposition 4.* Let  $k = 0$ . Assume

$$\int_0^\infty dr \frac{r}{1+r^{1/2}} |V(r)| < \infty \quad \text{if } \gamma > 0 \quad \text{and} \quad \int_0^\infty dr r |V(r)| < \infty \quad \text{if } \gamma = 0.$$

Then

$$|\tilde{g}_l(0, \gamma)| \geq \begin{cases} \left[ 2 \int_0^\infty dr r I_{2\lambda-1}[(4\gamma r)^{1/2}] K_{2\lambda-1}[(4\gamma r)^{1/2}] |V(r)| \right]^{-1}, & \gamma > 0, \\ (2\lambda - 1) \left[ \int_0^\infty dr r |V(r)| \right]^{-1}, & \gamma = 0. \end{cases} \tag{3.26}$$

*Proof.* We first show that  $\tilde{g}_l(0, \gamma)$  is necessarily real for  $\gamma \geq 0$ . Since  $\mathcal{F}_l(0, \tilde{g}_l) = 0$ , the functions  $F_l(0, \tilde{g}_l, r)$  and  $G_l(0, \tilde{g}_l, r)$  are linearly dependent, which in connection with the estimates (A20), (A22), (A25) and (A27) implies

$$\phi_l(r) = |V(r)|^{1/2} F_l(0, \tilde{g}_l, r) \in L^2(0, \infty)$$

and

$$\|\phi_l\|^2 = \tilde{g}_l(0, \gamma) \int_0^\infty dr \int_0^\infty dr' \bar{\phi}_l(r) |V(r)|^{1/2} \hat{g}_l^{(0)}(0, r, r') |V(r')|^{1/2} \phi_l(r').$$

From (A10) one infers that  $\hat{g}_l^{(0)}(0, \gamma, r, r')$  is real for  $\gamma \geq 0$  which proves that  $\tilde{g}_l(0, \gamma)$  is real for  $\gamma \geq 0$ . From

$$\frac{d}{dr} \left( \frac{F_l^{(0)}(0, r)}{G_l^{(0)}(0, r)} \right) = \frac{1}{[G_l^{(0)}(0, r)]^2} \geq 0 \quad \text{for all } r \geq 0, \gamma \geq 0,$$

one shows

$$|\hat{g}_l^{(0)}(0, r, r')| \leq F_l^{(0)}(0, r) G_l^{(0)}(0, r') \quad \text{for all } r, r' > 0, \gamma \geq 0. \tag{3.27}$$

Insertion of (3.27) into (3.22) yields  $(\tilde{g}_l^{(0)}(0, r, r')) = \hat{g}_l^{(0)}(0, r, r')$  if  $\gamma \geq 0$

$$|A_{n,l}(0, r)| \leq F_l^{(0)}(0, r) \left( \int_0^\infty dr' F_l^{(0)}(0, r') G_l^{(0)}(0, r') |V(r')| \right)^n, \tag{3.28}$$

which implies that

$$|\tilde{g}_l(0, \gamma)| \geq \left( \int_0^\infty dr F_l^{(0)}(0, r) G_l^{(0)}(0, r) |V(r)| \right)^{-1}. \tag{3.29}$$

Thus (3.21) converges for any  $g$  such that  $|g| < [\int_0^\infty dr F_l^{(0)}(0, r) G_l^{(0)}(0, r) |V(r)|]^{-1}$ . In the short-range case  $\gamma = 0$ , (3.26) is due to Jost and Pais (1951) (see also Kohn 1954).

*Remark 3.* The proof of proposition 4 shows that for  $\gamma \geq 0$  the Born series for  $\tilde{F}_i(0, g, r)$  at zero energy converges whenever  $\pm gV(r)$ ,  $g \in \mathbf{R}$  is too weak to support a bound state (or zero-energy resonance). In other words, the expansion (3.21) converges for  $g$  in a circle with centre  $g = 0$  up to the nearest (real) zero of  $\mathcal{F}_i(0, g) = 0$ . On the other hand, if one compares the estimate (3.26) with proposition 2 and corollary 2, one infers the weaker statement that the Born series (3.21) is certainly convergent if  $-|gV(r)|$  is too weak to support a bound state (for related results in the case  $\gamma = 0$  compare Davies 1959/60, Meetz 1962, Huby 1963, Bushell 1972, Amrein *et al* 1977).

Now we turn to the case  $k > 0$ ,  $\gamma \in \mathbf{R}$ .

*Proposition 5.* Let  $k \geq k_0 > 0$ ,  $\gamma \in \mathbf{R}$ , and  $\int_0^\infty dr [r/(1+k_0r)] |V(r)| < \infty$ . Then

$$|\tilde{g}_i(k, \gamma)| \geq \left( \tilde{c}_{\lambda, \gamma}(k_0) \int_0^\infty dr \frac{r}{1+kr} |V(r)| \right)^{-1}, \quad (3.30)$$

where

$$\tilde{c}_{\lambda, \gamma}(k_0) = \sup_{k \geq k_0} \sup_{r, r'} \left\{ \left( \frac{r}{1+kr} \right)^{-\lambda} \left( \frac{r'}{1+kr'} \right)^{\lambda-1} |\tilde{g}_i^{(0)}(k, \gamma, r, r')| \right\}. \quad (3.31)$$

*Proof.* With the help of (A16) and (A18) one arrives at

$$|A_{n,i}(k, r)| \leq a_{\lambda, \gamma}(k_0) \left( \frac{r}{1+kr} \right)^\lambda \left( \tilde{c}_{\lambda, \gamma}(k_0) \int_0^\infty dr' \frac{r'}{1+kr'} |V(r')| \right)^n, \quad k \geq k_0 > 0, \quad (3.32)$$

which proves (3.30).

It is intuitively clear that the radius of convergence of the Born expansions (3.21) and (3.23), i.e.  $|\tilde{g}_i(k, \gamma)|$ , should increase when  $k$  becomes larger and larger. This fact is actually confirmed by the following proposition 6, which also indicates how the small- $r$  behaviour of  $V(r)$  influences the large- $k$  behaviour of  $|\tilde{g}_i(k, \gamma)|$ .

*Proposition 6.* Suppose  $\int_0^R dr r^\beta |V(r)| < \infty$  for some  $R > 0$  and some  $0 \leq \beta \leq 1$ . Then

$$|\tilde{g}_i(k, \gamma)| \geq \left[ \tilde{c}_{\lambda, \gamma}(k_0) \left( \int_0^R dr r^\beta |V(r)| + k^{-\beta} \int_R^\infty dr |V(r)| \right) \right]^{-1} k^{1-\beta}, \quad k \geq k_0 > 0, \gamma \in \mathbf{R}. \quad (3.33)$$

*Proof.* From proposition 5 we obtain

$$\begin{aligned} \frac{|\tilde{g}_i(k, \gamma)|}{k^{1-\beta}} &\geq \left( \tilde{c}_{\lambda, \gamma}(k_0) k^{1-\beta} \int_0^\infty dr \frac{r^{1-\beta}}{1+kr} |V(r)| \right)^{-1} \\ &= \left[ \tilde{c}_{\lambda, \gamma}(k_0) \left( \int_0^R dr \frac{(kr)^{1-\beta}}{1+kr} |V(r)| + k^{-\beta} \int_R^\infty dr \frac{kr}{1+kr} |V(r)| \right) \right]^{-1} \\ &\geq \left[ \tilde{c}_{\lambda, \gamma}(k_0) \left( \int_0^R dr r^\beta |V(r)| + k^{-\beta} \int_R^\infty dr |V(r)| \right) \right]^{-1}. \end{aligned}$$

Equation (3.33) shows that even for  $\beta = 1$ ,  $\tilde{g}_i(k, \gamma) \rightarrow \infty$  as  $k \rightarrow \infty$  since  $R$  may be chosen arbitrarily small.

In the special case  $\beta = 0$  one can derive an asymptotic formula for  $|\hat{g}_i(k, \gamma)|$  if  $k$  tends to infinity (for  $\gamma = 0$  this has been done by Kohn 1954).

*Proposition 7.* Assume  $\int_0^\infty dr |V(r)| < \infty$  and  $\int_0^\infty dr V(r) \neq 0$ . Then

$$|\hat{g}_i(k, \gamma)| = \frac{\pi k}{\left| \int_0^\infty dr V(r) \right|} + o(k), \quad \text{as } k \rightarrow \infty, \gamma \in \mathbb{R}. \quad (3.34)$$

*Proof.* After iterating (3.5) and inserting it into (3.9), one infers

$$\mathcal{F}_i(-k, \gamma, g) = \sum_{n=0}^{\infty} g^n C_{n,i}(k, \gamma), \quad (3.35)$$

$$C_{0,i}(k, \gamma) = 1,$$

$$\begin{aligned} C_{n,i}(k, \gamma) &= (-1)^{n+1} \int_0^\infty dr V(r) G_i^{(0)}(k, r) \int_0^r dr_1 g_i^{(0)}(k, r, r_1) V(r_1) \\ &\quad \times \int_0^{r_1} dr_2 g_i^{(0)}(k, r_1, r_2) V(r_2) \\ &\quad \times \dots \int_0^{r_{n-2}} dr_{n-1} g_i^{(0)}(k, r_{n-2}, r_{n-1}) V(r_{n-1}) F_i^{(0)}(k, r_{n-1}), \end{aligned} \quad (3.36)$$

$$\begin{aligned} |C_{n,i}(k, \gamma)| &\leq a_{\lambda, \gamma}(k_0) b_{\lambda, \gamma}(k_0) (c_{\lambda, \gamma}(k_0))^{n-1} k^{-n} (n!)^{-1} \left( \int_0^\infty dr \frac{kr}{1+kr} |V(r)| \right)^n, \\ k &\geq k_0 > 0. \end{aligned} \quad (3.37)$$

With the help of the asymptotic relations (A14) and (A15) and the Riemann–Lebesgue lemma one concludes

$$\lim_{k \rightarrow \infty} k^n C_{n,i}(k, \gamma) = \frac{1}{n!} \left( \frac{1}{2i} \int_0^\infty dr V(r) \right)^n. \quad (3.38)$$

Next we decompose

$$\frac{1}{2} [\mathcal{F}_i(k, g) + \mathcal{F}_i(-k, g)] = \cos \left( \frac{g}{2k} \int_0^\infty dr V(r) \right) + \mathcal{R}_\lambda(k, g), \quad (3.39)$$

where

$$\mathcal{R}_\lambda(k, g) = \sum_{n=0}^{\infty} g^n \operatorname{Re} \left[ C_{n,i}(k) - \frac{1}{n!} \left( \frac{-1}{2ik} \int_0^\infty dr V(r) \right)^n \right]. \quad (3.40)$$

If  $g$  depends on  $k$  such that  $|g(k)| \leq 2\pi k / \left| \int_0^\infty dr V(r) \right|$ , then

$$\lim_{k \rightarrow \infty} \left| \mathcal{F}_i(\pm k, g(k)) - \exp \left( \mp \frac{g(k)}{2ik} \int_0^\infty dr V(r) \right) \right| = 0$$

holds. Thus  $|\mathcal{R}_\lambda(k, g(k))| < \frac{1}{2}$  for  $k \geq k_1 > 0$  and  $|g(k)| \leq 2\pi k / \left| \int_0^\infty dr V(r) \right|$  for  $k_1$  large enough. Thus there exists for all fixed  $k \geq k_1$  a  $\hat{g}_i(k)$  with  $0 \leq |\hat{g}_i(k)| \leq 2\pi k / \left| \int_0^\infty dr V(r) \right|$  such that  $[\mathcal{F}_i(k, \hat{g}_i(k)) + \mathcal{F}_i(-k, \hat{g}_i(k))] = 0$  for all  $k \geq k_1$ . Since by definition

$$|\hat{g}_i(k)| \leq |\hat{g}_i(k)| \leq 2\pi k / \left| \int_0^\infty dr V(r) \right|,$$

we obtain

$$\begin{aligned} \lim_{k \rightarrow \infty} \left| \frac{1}{2} [\mathcal{F}_l(k, \tilde{g}_l(k)) + \mathcal{F}_l(-k, \tilde{g}_l(k))] - \cos\left(\frac{\tilde{g}_l(k)}{2k} \int_0^\infty dr V(r)\right) \right| \\ = \lim_{k \rightarrow \infty} \cos\left(\frac{\tilde{g}_l(k)}{2k} \int_0^\infty dr V(r)\right) = 0, \end{aligned}$$

which proves

$$|\tilde{g}_l(k)| = \pi k / \left| \int_0^\infty dr V(r) \right| + o(k) \quad \text{as } k \rightarrow \infty.$$

Note the close analogy of the high-energy behaviour of  $|\tilde{g}_l(k)|$  and  $[\delta_l(k, \gamma, g) - \delta_l^{(0)}(k, \gamma)]$  as exhibited in propositions 6 and 7 above and propositions 4 and 5 in Gesztesy *et al* (1980). The additional integrability condition  $\int_0^R dr r^\beta |V(r)| < \infty$  leads, for  $k \rightarrow \infty$ , to an increase like  $k^{1-\beta}$ ,  $0 \leq \beta \leq 1$  for  $|\tilde{g}_l(k)|$ , resp. decrease like  $k^{\beta-1}$  for  $[\delta_l(k, \gamma, g) - \delta_l^{(0)}(k, \gamma)]$ .

Now we define

$$\begin{aligned} \tilde{g}_l^{(0)}(k, r, r') &= \hat{g}_l^{(0)}(k, r, r') + 2i \frac{B_l(k)}{A_l(k)} F_l^{(0)}(k, r) F_l^{(0)}(k, r') \\ &= \hat{g}_l^{(0)}(-k, r, r'), \quad k \geq 0. \end{aligned} \tag{3.41}$$

Then

$$\tilde{F}_l(k, g, r) = F_l(k, g, r) / \mathcal{F}_l(k, g), \quad k \geq 0, \tag{3.42}$$

satisfies

$$\tilde{F}_l(k, g, r) = F_l^{(0)}(k, r) - g \int_0^\infty dr' \tilde{g}_l^{(0)}(k, r, r') V(r') \tilde{F}_l(k, g, r'). \tag{3.43}$$

With the help of (3.19) one obtains

$$\exp\{2i[\delta_l(k, g) - \delta_l^{(0)}(k)]\} = 1 - \frac{2ig}{kA_l^2(k)} \int_0^\infty dr V(r) F_l^{(0)}(k, r) \tilde{F}_l(k, g, r). \tag{3.44}$$

Iterating (3.43) and inserting it into (3.44) then yields the Born expansions for  $\tilde{F}_l(k, r)$  and  $\exp\{2i[\delta_l(k) - \delta_l^{(0)}(k)]\}$ :

$$\tilde{F}_l(k, g, r) = \sum_{n=0}^\infty g^n D_{n,l}(k, r), \tag{3.45}$$

$$D_{0,l}(k, r) = F_l^{(0)}(k, r),$$

$$\begin{aligned} D_{n,l}(k, r) &= (-1)^n \int_0^\infty dr_1 \tilde{g}_l^{(0)}(k, r, r_1) V(r_1) \int_0^\infty dr_2 \tilde{g}_l^{(0)}(k, r_1, r_2) V(r_2) \dots \\ &\quad \times \int_0^\infty dr_n \tilde{g}_l^{(0)}(k, r_{n-1}, r_n) V(r_n) F_l^{(0)}(k, r_n), \quad n = 1, 2, \dots, \end{aligned} \tag{3.46}$$

$$\exp\{2i[\delta_l(k, g) - \delta_l^{(0)}(k)]\} = \sum_{n=0}^\infty g^n E_{n,l}(k), \tag{3.47}$$

$$E_{0,l}(k) = 1,$$

$$E_{n,l}(k) = -\frac{2ig}{kA_l^2(k)} \int_0^\infty dr V(r) F_l^{(0)}(k, r) D_{n,l}(k, r), \quad n = 1, 2, \dots \tag{3.48}$$

From (3.42) it is clear that for fixed  $k, l, \alpha$  and  $\gamma$  the radius of convergence for both Born series (3.45) and (3.47) is given by that zero of

$$\mathcal{F}_l(k, \gamma, g) = 0 \tag{3.49}$$

which is closest to the origin  $g = 0$ . We denote this zero by  $\tilde{g}_l(k, \gamma)$ .

In the following we briefly discuss several cases where a lower bound for the radius of convergence, i.e.  $|\tilde{g}_l(k, \gamma)|$ , can be proved.

$k = 0, \gamma \geq 0$ :

Since  $\tilde{g}_l(k, \gamma)$  and  $\tilde{g}_l(k, \gamma)$  coincide for  $k = 0, \gamma \geq 0$ , proposition 4 is valid for  $\tilde{g}_l(0, \gamma)$  as well.

$k > 0, \gamma \in \mathbb{R}$ :

Propositions 5 and 6 remain valid for  $\tilde{g}_l(k, \gamma)$  if  $\tilde{c}_{\lambda, \gamma}(k_0)$  of (3.31) is replaced by

$$\tilde{c}_{\lambda, \gamma}(k_0) = \sup_{k \geq k_0} \sup_{r, r'} \left[ \left( \frac{r}{1+kr} \right)^{-\lambda} \left( \frac{r'}{1+kr'} \right)^{\lambda-1} |\tilde{g}_l^{(0)}(k, \gamma, r, r')| \right]. \tag{3.50}$$

Since

$$\tilde{g}_l^{(0)}(k, r, r') = \tilde{g}_l^{(0)}(k, r, r') + i \frac{B_l(k)}{A_l(k)} F_l^{(0)}(k, r) F_l^{(0)}(k, r')$$

and  $\tilde{g}_l^{(0)}(k, r, r')$  is real, we obtain

$$\tilde{c}_{\lambda, \gamma}(k_0) > \tilde{c}_{\lambda, \gamma}(k_0) \quad \text{for all } k_0 > 0.$$

There is no complete analogue of proposition 7 for  $\tilde{g}_l(k, \gamma)$ ; instead of proposition 7, we now have the following.

*Proposition 8.* Assume  $\int_0^\infty dr |V(r)| < \infty$  and  $\int_0^\infty dr V(r) \neq 0$ . Then

$$\lim_{k \rightarrow \infty} \tilde{g}_l(k, \gamma)/k = \infty, \quad \gamma \in \mathbb{R}. \tag{3.51}$$

*Proof.* Let  $M > 0$ . Since

$$\lim_{k \rightarrow \infty} \left| \mathcal{F}_l(k, g) - \exp\left(-\frac{g}{2ik} \int_0^\infty dr V(r)\right) \right| = 0 \quad \text{if } |g| \leq Mk,$$

there is a  $k_1 > 0$  such that

$$\left| \mathcal{F}_l(k, g) - \exp\left(-\frac{g}{2ik} \int_0^\infty dr V(r)\right) \right| < \frac{1}{2} \exp\left(-\frac{1}{2}M \left| \int_0^\infty dr V(r) \right| \right)$$

$$\text{for } k \geq k_1 \text{ and } |g| \leq Mk.$$

Suppose  $|\tilde{g}_l(k)| \leq Mk$ . Then  $\mathcal{F}_l(k, \tilde{g}_l(k)) = 0$  implies

$$\exp\left(-\frac{1}{2}M \left| \int_0^\infty dr V(r) \right| \right) \leq \exp\left(-|\text{Im } \tilde{g}_l| \left| \int_0^\infty dr V(r) \right| / 2k \right)$$

$$\leq \exp\left(-\text{Im } \tilde{g}_l \int_0^\infty dr V(r) / 2k \right) < \frac{1}{2} \exp\left(-\frac{1}{2}M \left| \int_0^\infty dr V(r) \right| \right),$$

a contradiction. Thus  $|\tilde{g}_l(k)|/k > M$  and since  $M$  was arbitrary, (3.51) is proved.

This result (in the short-range case  $\gamma = 0$  due to Kohn (1954)) shows that in the high-energy limit  $\tilde{g}_l(k) > \tilde{g}_l(k)$  if  $V(r) \in L^1(0, \infty)$ .

Finally we note that it is simple to estimate the truncation error (Kohn 1954, Manning 1965), i.e. the difference between  $\tan(\delta_l - \delta_l^{(0)})$  (or  $\exp[2i(\delta_l - \delta_l^{(0)})]$ ) and the first  $N$  terms of the associated Born series (3.23) (or (3.47)). For example, using (3.32) we obtain

$$\begin{aligned} \left| \tan[\delta_l(k, g) - \delta_l^{(0)}(k)] - \sum_{n=1}^N g^n B_{n,l}(k) \right| &\leq \sum_{n=N+1}^{\infty} |g|^n |B_{n,l}(k)| \\ &\leq \frac{|g| a_{\lambda,\gamma}^2(k_0)}{k A_l^2(k)} \int_0^{\infty} dr \left( \frac{r}{1+kr} \right)^{2\lambda} |V(r)| \sum_{m=N}^{\infty} \left| g \tilde{c}_{\lambda,\gamma}(k_0) \int_0^{\infty} dr' \frac{r'}{1+kr'} |V(r')| \right|^m \\ &= \frac{|g| a_{\lambda,\gamma}^2(k_0)}{k A_l^2(k)} \int_0^{\infty} dr \left( \frac{r}{1+kr} \right)^{2\lambda} |V(r)| \\ &\quad \times \frac{|g \tilde{c}_{\lambda,\gamma}(k_0) \int_0^{\infty} dr' [r'/(1+kr')] |V(r')|^N}{1 - |g \tilde{c}_{\lambda,\gamma}(k_0) \int_0^{\infty} dr' [r'/(1+kr')] |V(r')|}, \quad k \geq k_0 > 0, \end{aligned}$$

and similarly for the other cases.

**Appendix**

In this Appendix we discuss various properties of  $F_l^{(0)}(E, \gamma, r)$ ,  $G_l^{(0)}(E, \gamma, r)$ ,  $F_l(k, \gamma, g, r)$  and  $G_l(k, \gamma, g, r)$  (cf §§ 2 and 3 for precise definitions).

Limits ( $\lambda = \frac{1}{2} + (l^2 + l + \alpha^2)^{1/2}$ )

$$\begin{array}{ccc} F_l^{(0)}(E, \gamma, r) & \xrightarrow[\alpha \geq 0]{E \rightarrow 0_-} & \gamma^{1/2-\lambda} \Gamma(2\lambda) r^{1/2} I_{2\lambda-1}[(4\gamma r)^{1/2}] \\ \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_+ & E \leq 0, \gamma \geq 0 & \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_+ \\ \Gamma(\lambda + \frac{1}{2})(-E/4)^{1/4-\lambda/2} r^{1/2} I_{\lambda-1/2}(\sqrt{-Er}) & \xrightarrow[\alpha \geq 0]{E \rightarrow 0_-} & r^\lambda \end{array} \tag{A1}$$

$$\begin{array}{ccc} G_l^{(0)}(E, \gamma, r) & \xrightarrow[\alpha \geq 0]{E \rightarrow 0_-} & 2\gamma^{\lambda-1/2} \Gamma(2\lambda)^{-1} r^{1/2} K_{2\lambda-1}[(4\gamma r)^{1/2}] \\ \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_+ & E \leq 0, \gamma \geq 0 & \downarrow \alpha > 0 \quad \gamma \rightarrow 0_+ \\ \Gamma(\lambda + \frac{1}{2})^{-1} (-E/4)^{\lambda/2-1/4} r^{1/2} K_{\lambda-1/2}(\sqrt{-Er}) & \xrightarrow[\alpha > 0]{E \rightarrow 0_-} & \frac{r^{1-\lambda}}{2\lambda-1} \end{array} \tag{A2}$$

$$\begin{array}{ccc} F_l^{(0)}(k, \gamma, r) & \xrightarrow[\alpha \geq 0]{k \rightarrow 0_+} & \gamma^{1/2-\lambda} \Gamma(2\lambda) r^{1/2} I_{2\lambda-1}[(4\gamma r)^{1/2}] \\ \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_+ & k \geq 0, \gamma \geq 0 & \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_+ \\ \Gamma(\lambda + \frac{1}{2})(k/2)^{1/2-\lambda} r^{1/2} J_{\lambda-1/2}(kr) & \xrightarrow[\alpha \geq 0]{k \rightarrow 0_+} & r^\lambda \end{array} \tag{A3}$$



$$\begin{array}{ccc}
 G_l^{(0)}(k, \gamma, r) & \xrightarrow[\alpha \geq 0]{k \rightarrow 0_+} & 2\gamma^{\lambda-1/2}\Gamma(2\lambda)^{-1}r^{1/2}K_{2\lambda-1}[(4\gamma r)^{1/2}] \\
 \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_+ & & \downarrow \alpha > 0 \quad \gamma \rightarrow 0_+ \\
 & k \geq 0, \gamma \geq 0 & \\
 -\frac{1}{2}i\pi\Gamma(\lambda + \frac{1}{2})^{-1}(k/2)^{\lambda-1/2}r^{1/2}H_{\lambda-1/2}^{(2)}(kr) & \xrightarrow[\alpha > 0]{k \rightarrow 0_+} & \frac{r^{1-\lambda}}{2\lambda-1}
 \end{array} \tag{A4}$$

$$\begin{array}{ccc}
 F_l^{(0)}(k, \gamma, r) & \xrightarrow[\alpha \geq 0]{k \rightarrow 0_+} & |\gamma|^{1/2-\lambda}\Gamma(2\lambda)r^{1/2}J_{2\lambda-1}[(4|\gamma|r)^{1/2}] \\
 \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_- & & \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_- \\
 & k \geq 0, \gamma \leq 0 & \\
 \Gamma(\lambda + \frac{1}{2})(k/2)^{1/2-\lambda}r^{1/2}J_{\lambda-1/2}(kr) & \xrightarrow[\alpha \geq 0]{k \rightarrow 0_+} & r^\lambda
 \end{array} \tag{A5}$$

$$\begin{array}{ccc}
 G_l^{(0)}(k, \gamma, r) & \xrightarrow[\alpha \geq 0]{k \rightarrow 0_+} & -i\pi|\gamma|^{\lambda-1/2}\Gamma(2\lambda)^{-1}r^{1/2}H_{2\lambda-1}^{(2)}[(4|\gamma|r)^{1/2}] \\
 \downarrow \alpha \geq 0 \quad \gamma \rightarrow 0_- & & \downarrow \alpha > 0 \quad \gamma \rightarrow 0_- \\
 & k \geq 0, \gamma \leq 0 & \\
 -\frac{1}{2}i\pi\Gamma(\lambda + \frac{1}{2})^{-1}(k/2)^{\lambda-1/2}r^{1/2}H_{\lambda-1/2}^{(2)}(kr) & \xrightarrow[\alpha > 0]{k \rightarrow 0_+} & \frac{r^{1-\lambda}}{2\lambda-1}
 \end{array} \tag{A6}$$

*Asymptotic behaviour*

$$F_l^{(0)}(k, \gamma, r) \underset{r \rightarrow \infty}{\sim}^{k > 0} A_l(k) \sin\left(kr - \frac{\gamma}{2k} \ln(2kr) - \frac{l\pi}{2} + \delta_l^{(0)}(k)\right), \quad \gamma \in \mathbb{R}, \tag{A7}$$

$$G_l^{(0)}(\pm k, \gamma, r) \underset{r \rightarrow \infty}{\sim}^{k > 0} B_l(k) \exp\left[\mp i\left(kr - \frac{\gamma}{2k} \ln(2kr) - \frac{l\pi}{2} + \delta_l^{(0)}(k)\right)\right], \quad \gamma \in \mathbb{R}, \tag{A8}$$

where

$$\begin{aligned}
 A_l(k) &= 2^{1-\lambda}k^{-\lambda}\Gamma(2\lambda)|\Gamma(\lambda + i\gamma/2k)|^{-1}e^{\pi\gamma/4k}, \\
 B_l(k) &= 1/kA_l(k) = (2k)^{\lambda-1}\Gamma(2\lambda)^{-1}|\Gamma(\lambda + i\gamma/2k)|e^{-\pi\gamma/4k}, \quad \gamma \in \mathbb{R},
 \end{aligned} \tag{A9}$$

$$\lim_{k \rightarrow 0_+} B_l(k)/A_l(k) = \lim_{k \rightarrow 0_+} 1/kA_l^2(k) = \begin{cases} 0, & \gamma \geq 0, \\ \pi|\gamma|^{2\lambda-1}\Gamma(2\lambda)^{-2}, & \gamma \leq 0, \end{cases} \tag{A10}$$

and

$$\delta_l^{(0)}(k) = \arg \Gamma(\lambda + i\gamma/2k) + \frac{1}{2}\pi(l + 1 - \lambda). \tag{A11}$$

$$F_l^{(0)}(0, \gamma, r) \underset{r \rightarrow \infty}{\sim} \pi^{-1/2}|\gamma|^{1/4-\lambda}\Gamma(2\lambda)r^{1/4} \begin{cases} \frac{1}{2}\exp[(4\gamma r)^{1/2}], & \gamma > 0, \\ \cos[(4|\gamma|r)^{1/2} - \frac{1}{2}\pi(\lambda - \frac{1}{2})], & \gamma < 0, \end{cases} \tag{A12}$$

$$G_l^{(0)}(0, \gamma, r) \underset{r \rightarrow \infty}{\sim} \pi^{1/2}|\gamma|^{\lambda-3/4}\Gamma(2\lambda)^{-1}r^{1/4} \begin{cases} \exp[-(4\gamma r)^{1/2}], & \gamma > 0, \\ -i \exp\{-i[(4|\gamma|r)^{1/2} - \frac{1}{2}\pi(\lambda - \frac{1}{2})]\}, & \gamma < 0. \end{cases} \tag{A13}$$

$$F_i^{(0)}(k, \gamma, r) \underset{k \rightarrow \infty}{\overset{r > 0}{\sim}} \pi^{-1/2} \Gamma(\lambda + \frac{1}{2}) (k/2)^{-\lambda} \sin[kr + \frac{1}{2}\pi(1-\lambda)], \quad \gamma \in \mathbf{R}, \quad (\text{A14})$$

$$G_i^{(0)}(k, \gamma, r) \underset{k \rightarrow \infty}{\overset{r > 0}{\sim}} 2^{-1} \pi^{1/2} \Gamma(\lambda + \frac{1}{2})^{-1} (k/2)^{\lambda-1} \exp\{-i[kr + \frac{1}{2}\pi(1-\lambda)]\}, \quad \gamma \in \mathbf{R}. \quad (\text{A15})$$

Estimates

$$|g_i^{(0)}(k, \gamma, r, r')| \leq c_{\lambda, \gamma}(k_0) \left(\frac{r}{1+kr}\right)^\lambda \left(\frac{r'}{1+kr'}\right)^{1-\lambda}, \quad k \geq k_0 > 0, \gamma \in \mathbf{R}, \quad (\text{A16})$$

and analogously for  $\hat{g}_i^{(0)}(k, \gamma, r, r')$ ,  $\tilde{g}_i^{(0)}(k, \gamma, r, r')$  and  $\tilde{\tilde{g}}_i^{(0)}(k, \gamma, r, r')$ ; we only have to replace  $c_{\lambda, \gamma}(k_0)$  by appropriate constants  $\hat{c}_{\lambda, \gamma}(k_0)$ ,  $\tilde{c}_{\lambda, \gamma}(k_0)$  and  $\tilde{\tilde{c}}_{\lambda, \gamma}(k_0)$ .

$$|g_i^{(0)}(0, \gamma, r, r')| \leq c_{\lambda, \gamma}(r, r')^{1/4} \left(\frac{r}{1+r}\right)^{\lambda-1/4} \left(\frac{r'}{1+r'}\right)^{3/4-\lambda}, \quad \gamma \neq 0; \quad (\text{A17})$$

replacing  $c_{\lambda, \gamma}$  by  $\hat{c}_{\lambda, \gamma}$ , (A17) holds for  $\hat{g}_i^{(0)}(0, \gamma, r, r')$  as well. After iterating (3.5) and (3.7) one obtains the estimates

$$\begin{aligned} |F_l(k, \gamma, r)| &\leq a_{\lambda, \gamma}(k_0) \left(\frac{r}{1+kr}\right)^\lambda \exp\left(c_{\lambda, \gamma}(k_0)|g| \int_0^r dr' \frac{r'}{1+kr'} |V(r')|\right) \\ &\leq \alpha_{\lambda, \gamma}(k_0) \left(\frac{r}{1+kr}\right)^\lambda, \quad k \geq k_0 > 0, \gamma \in \mathbf{R}, \end{aligned} \quad (\text{A18})$$

$$\alpha_{\lambda, \gamma}(k_0) = a_{\lambda, \gamma}(k_0) \exp\left(c_{\lambda, \gamma}(k_0)|g| \int_0^\infty dr' \frac{r'}{1+kr'} |V(r')|\right),$$

$$\begin{aligned} |F_l(k, \gamma, r) - F_l^{(0)}(k, \gamma, r)| &\leq c_{\lambda, \gamma}(k_0) \alpha_{\lambda, \gamma}(k_0) \left(\frac{r}{1+kr}\right)^\lambda |g| \int_0^r dr' \frac{r'}{1+kr'} |V(r')|, \\ k \geq k_0 > 0, \gamma \in \mathbf{R}, \end{aligned} \quad (\text{A19})$$

$$\begin{aligned} |F_l(0, \gamma, r)| &\leq a_{\lambda, \gamma} r^{1/4} \left(\frac{r}{1+r}\right)^{\lambda-1/4} \exp\left(c_{\lambda, \gamma}|g| \int_0^r dr' \frac{r'}{1+r'^{1/2}} |V(r')|\right) \\ &\times \begin{cases} \exp(4\gamma r)^{1/2}, & \gamma > 0, \\ 1, & \gamma < 0, \end{cases} \end{aligned} \quad (\text{A20})$$

$$\begin{aligned} |F_l(0, \gamma, r) - F_l^{(0)}(0, \gamma, r)| &\leq c_{\lambda, \gamma} a_{\lambda, \gamma} r^{1/4} \left(\frac{r}{1+r}\right)^{\lambda-1/4} |g| \int_0^r dr' \frac{r'}{1+r'^{1/2}} |V(r')| \\ &\times \begin{cases} \exp(4\gamma r)^{1/2}, & \gamma > 0, \\ 1, & \gamma < 0, \end{cases} \end{aligned} \quad (\text{A21})$$

$$|F_l(0, 0, r)| \leq a_\lambda r^\lambda. \quad (\text{A22})$$

$$\begin{aligned} |G_l(k, \gamma, r)| &\leq b_{\lambda, \gamma}(k_0) \left(\frac{r}{1+kr}\right)^{1-\lambda} \exp\left(c_{\lambda, \gamma}(k_0)|g| \int_r^\infty dr' \frac{r'}{1+kr'} |V(r')|\right) \\ &\leq \beta_{\lambda, \gamma}(k_0) \left(\frac{r}{1+kr}\right)^{1-\lambda}, \quad k \geq k_0 > 0, \gamma \in \mathbf{R}, \end{aligned} \quad (\text{A23})$$

$$\beta_{\lambda,\gamma}(k_0) = b_{\lambda,\gamma}(k_0) \exp\left(c_{\lambda,\gamma}(k_0) \left|g\right| \int_0^\infty dr' \frac{r'}{1+kr'} |V(r')|\right),$$

$$|G_l(k, \gamma, r) - G_l^{(0)}(k, \gamma, r)| \leq c_{\lambda,\gamma}(k_0) \beta_{\lambda,\gamma}(k_0) \left(\frac{r}{1+kr}\right)^{1-\lambda} |g| \int_r^\infty dr' \frac{r'}{1+kr'} |V(r')|,$$

$$k \geq k_0 > 0, \gamma \in \mathbf{R}, \quad (\text{A24})$$

$$|G_l(0, \gamma, r)| \leq b_{\lambda,\gamma} r^{1/4} \left(\frac{r}{1+r}\right)^{3/4-\lambda} \exp\left(c_{\lambda,\gamma} |g| \int_r^\infty dr' \frac{r'}{1+r'^{1/2}} |V(r')|\right)$$

$$\times \begin{cases} \exp[-(4\gamma r)^{1/2}], & \gamma > 0, \\ 1, & \gamma < 0, \end{cases} \quad (\text{A25})$$

$$|G_l(0, \gamma, r) - G_l^{(0)}(0, \gamma, r)| \leq c_{\lambda,\gamma} b_{\lambda,\gamma} r^{1/4} \left(\frac{r}{1+r}\right)^{3/4-\lambda} |g| \int_r^\infty dr' \frac{r'}{1+r'^{1/2}} |V(r')|$$

$$\times \begin{cases} \exp[-(4\gamma r)^{1/2}], & \gamma > 0, \\ 1, & \gamma < 0, \end{cases} \quad (\text{A26})$$

$$|G_l(0, 0, r)| \leq b_\lambda r^{1-\lambda}. \quad (\text{A27})$$

Here  $c_{\lambda,\gamma}(k_0)$ ,  $c_{\lambda,\gamma}$ ,  $a_{\lambda,\gamma}(k_0)$ ,  $\dots$ ,  $b_\lambda$  are appropriate constants.

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